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# Discriminating between high- and low-quality field depletion

# experiments using forensic evidence

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# Abstract

Between 1997 and 2011, the National Marine Fisheries Service conducted 50 depletion experiments to estimate survey gear efficiency and stock density for Atlantic surfclam (*Spisula* 

*solidissima*) and ocean quahog (*Arctica islandica*) populations using commercial hydraulic dredges. The Patch Model was formulated to estimate gear efficiency and organism density from the depletion experiment data. The range of efficiencies estimated is substantial, leading to uncertainty in the application of these estimates in stock assessment. Known values of four measures of experimental performance for each of the 50 in-field depletion experiments were compared to those same metrics from 9,000 simulated depletion experiments, assumed to represent a suite of conditions that might also occur in the corresponding field experiment. These comparisons allow for analyses of the quality of field experiments that would otherwise not be possible and provide an evidentiary basis for weighting the results of field experiments beyond traditional measures of uncertainty. The performance metrics were used to implicate a subset of in-field experiments that are more likely to have produced inaccurate gear efficiency estimates, potentially biasing the efficiency estimates low for the entire depletion dataset.

# 1 Introduction

The implementation of a definitive measure of dredge efficiency for shellfish survey data 2 substantially improves the estimation of abundance. Commonly, depletion experiments are used 3 to estimate gear efficiency and population density in a target area for sessile and sedentary species 4 (Leslie & Davis, 1939; Skalski et al., 1983; Lasta & Iribarne, 1997; Gedamke et al., 2005; Wilberg 5 6 et al., 2013), although other quantification methods have also been used (Chai et al., 1992; Ragnarsson & Thórarinsdóttir, 2002; Morson et al., 2018). Efficiency estimates exist for a range 7 8 of dry dredges that are designed to harvest epibenthic animals, including oyster dredges (Morson 9 et al., 2018; Powell et al. 2,007), clam dredges (Pezzuto et al., 2010), crab dredges (Vølstad et al., 2000; Wilberg et al., 2013), and scallop dredges (Beukers-stewart & Beukers-stewart, 2009; Lasta 10 & Iribarne, 1997). By comparison, highly efficient hydraulic dredges are the primary gear type 11

used to harvest infaunal clams (Da Ros et al., 2003; Hauton et al., 2003; Moschino et al., 2003;
Gilkinson et al., 2005; Meseck et al., 2014). Hydraulic dredges increase the catchability of the
target organism by using water pressure to liquefy the sediment (Da Ros et al., 2003; Gilkinson et al., 2003; Hauton et al., 2007; Meseck et al., 2014).

The ocean quahog and the Atlantic surfclam support substantial fisheries on the northeast 16 U.S. continental shelf and are harvested exclusively by hydraulic dredges due to the depths at 17 18 which they are found. A typical hydraulic dredge is a large rectangular box between 2.4 and 4.0m wide, constructed of evenly spaced steel bars that is towed over a seabed (Lambert & Goudreau, 19 1996; Meyer et al., 1981). A manifold at the head of the dredge distributes high-pressure water 20 21 provided by a shipboard water pump through a connecting hose. The water is focused through a 22 series of jets onto the seabed, liquefying the sediment and unearthing the clams for easy capture by the dredge. Hydraulic dredges, widely used in clam fisheries (Parker, 1971; Hauton et al., 2007; 23 Sala et al., 2017), are necessary for the commercial harvest of ocean quahogs and surfclams due 24 to the depth and distance from the shore at which these species are found and the fact that the 25 fishery is based on a high volume, low unit cost product. Thus, rapid and efficient capture methods 26 are economically essential. 27

A series of depletion experiments was conducted between 1997 and 2011 on commercial clam vessels targeting Atlantic surfclam (*Spisula solidissima*) and ocean quahog (*Arctica islandica*) populations to estimate the efficiency of both commercial hydraulic dredges. The locations of these depletion experiments are specified in Appendix 3 of NEFSC (2017a) (Fig. 1-2). As is often the case in measures of dredge efficiency, individual experiments varied widely in their estimates of efficiency (Vølstad et al., 2000; Powell et al., 2007; Hennen et al., 2012; Wilberg et al., 2013; Morson et al., 2018). Very little is known about how environmental and sampling

variables influence the efficiency of a hydraulic dredge, factors that are likely the source of
between-experiment variation. Estimates of gear efficiency based on depletion experiments are a
key source of uncertainty in the stock assessments for the Atlantic surfclam and ocean quahog
stocks as a consequence.

The Patch Model was developed to analyze the results of depletion experiments to estimate 39 the efficiency of capture of sedentary species such as surfclams and ocean quahogs (Rago et al., 40 41 2006). The Patch Model has been rigorously tested by previous studies (Hennen et al., 2012) and has been used to inform stock assessments of commercially fished species in the mid-Atlantic, 42 such as Atlantic surfclam, ocean quahog, monkfish (Lophius americanus: NEFSC, 2010a) and 43 44 Atlantic sea scallop (Placopecten magellanicus: NEFSC, 2010b; NMFS, 2009). Over the span of 14 years, 50 depletion experiments were carried out along the U.S. Mid-Atlantic continental shelf 45 off the coast of Massachusetts, Long Island, New Jersey, and the Delmarva Peninsula to determine 46 the efficiency of hydraulic dredges used in the surfclam and ocean qualog fisheries. The Patch 47 Model provides estimates of capture efficiency and average density of organisms in the target area 48 by tracking the relative reduction in catch for each dredge tow. Theoretically, capture efficiency is 49 a measurable characteristic of the gear as well as the species (Hennen et al., 2012). 50

A field depletion experiment is designed to have the dredge towed over the same ground repeatedly while covering the majority of a predetermined area; typically, in the experiments considered herein, a long rectangular area, the smallest rectangular area that contains all the tows, on average about 10 dredge widths wide (23-24-m) and about 400-1000-m long. A series of intersecting dredge tows are conducted across the selected area, with the dredge tow path beginning at one short end of the rectangle and finishing at the opposite end (Fig. 3). The dredge paths are not parallel, rather the paths overlap and intersect at various points in the area by design

in order to meet the requirements of the Patch Model. See Figure 3 for a visual representation of 58 59 dredge tows in an experiment area. The experiment requires the assumption that all catches are random samples and that no transport of organisms into or out of the study site occurs during the 60 experiment (Leslie & Davis, 1939). The catch and the incremental dredge positions are recorded 61 for each dredge tow. Over the course of the depletion experiment, the catch per tow will decrease; 62 this rate of decline is proportional to the efficiency of the dredge (Hennen et al., 2012). If the rate 63 of decline is steep, the dredge is highly efficient, and if it is shallow, the dredge may not be 64 efficient. 65

Field depletion experiments can take hours to complete and require much effort on the part 66 of scientists and crew on the ship. Therefore, it is important to know if the experiments that have 67 been conducted produced reliable efficiency estimates for the gear used and to evaluate 68 characteristics leading to poor performance that might be avoided in future endeavors. Poussard et 69 al. (2021) uses simulation analysis to determine best practices for depletion experiments and 70 evaluates which range of experiment protocols were most likely to provide high quality capture 71 efficiency estimates. This manuscript aims to use the simulation data to identify in-field depletion 72 experiments that were of high quality, and those that were of lower quality. The National Marine 73 Fisheries Service (NMFS) depletion dataset analyzed is unique; at the time of this writing, no other 74 75 depletion dataset is this expansive.

Analyzing the NMFS hydraulic dredge depletion experiments may provide an improved understanding of the sources of uncertainty in efficiency estimates derived in this way, including the characteristics of experimental protocol and environmental factors affecting gear efficiency. This study first summarizes the characteristics of these experiments (e.g., dredge size, depth, and geographic region) and then compares simulated depletion experiments to the field depletion

experiments conducted on Atlantic surfclam and ocean quahog stock using a series of experimental 81 quality metrics. The simulated depletion experiments have the advantage of being fully controlled 82 and they can be evaluated for the accuracy and precision of the parameter estimates they provide. 83 In this analysis, a set of simulated experiments is matched to each field experiment. This set of 84 simulated experiments is assumed to represent a suite of conditions that might also occur in the 85 corresponding field experiment. These comparisons allow for analyses of the quality of field 86 87 experiments and provide an evidentiary basis for weighting the results of field experiments beyond traditional measures of uncertainty. 88

# 89 Methods

# 90 The Patch Model

To estimate the catchability coefficient, depletion experiments permit correction of survey catch using the equations N = SA/q and  $q = \frac{\alpha e}{A}$ , where N is stock abundance or biomass and SA is the swept area average of all tows in the experiment area. The catchability coefficient q is obtained from  $\alpha$ , the area swept by the sampling gear, e, the dredge efficiency, and A, the spatial domain of the estimates (Paloheimo & Dickie 1964). The area swept by the dredge is calculated as the distance the dredge is towed multiplied by the width of the dredge. See Figure 3 for a visual of the dredge tows in an experiment area.

The expected catch of organisms in any tow *i*,  $E(C_i)$ , given initial density of the target organisms ( $D_0$ ) and the cumulative catch from previous tows,  $T_{i-1}$ , can be calculated as:

100 (1) 
$$E(C_i) = q(D_0 - T_{i-1})$$

assuming each tow covers the same spatial domain. In reality, this relationship is more complex
as each tow covers only a portion of the experimental area. Incorporating the portion of the area
that has already been hit by the dredge prior to tow *i*, also known as the hit matrix (Hennen et al.

104 2012), gives the expected catch per tow i as:

105 (2) 
$$E(C_i) = (EAS_i)D_o$$

where  $D_0$  is the initial density of the target organism in the area and EAS is the effective area swept defined as the total area swept (ft<sup>2</sup>) by the dredge in tow *i* taking into account the portion of the experimental area hit by the dredge in previous tows. EAS is calculated as:

109 (3) 
$$EAS = ea_i \sum_{j=1}^{i} f_{i,j} (1 - e\gamma)^{j-1}$$

110 where *e* is the capture efficiency as estimated by the Patch Model,  $a_i$  is the area swept by tow *i*, 111  $f_{i,j}$  is the fraction of the area  $a_i$  that was hit by the dredge *j* times in previous tows, and  $\gamma$  is the 112 ratio of the cell size and the dredge width. Rago et al. (2006) divided the experimental area into 113 cells twice the width of the dredge. Hennen et al. (2012) removed  $\gamma$  by reducing the cells to 114 points, eliminating the need to calculate cell size, which results in improved accuracy and 115 precision of efficiency estimates. This study uses the latter method.

The negative binomial distribution is used to describe the dispersion of animals in the experiment area in order to account for extra variation in observed catches and take into account catch from previous tows when estimating catch in tow *i*.. This method uses the cumulative spatial pattern of animal removals to define capture probability for each organism. The negative binomial distribution of catch can be expressed as a function of  $D_o$  (initial density of organisms), *k* (the dispersion parameter), and *EAS* (the effective area swept in tow *i*) (Rago et al., 2006): thus,

123 (4) 
$$Pr(Ci|D_0, k, EAS) = \left(\frac{k}{D_0(EAS) + K}\right)^k \left(\frac{D_0(EAS)}{D_0(EAS) + k}\right)^{C_i} x \prod_{j=1}^{C_i} \frac{k+j-1}{j}$$

124 The log likelihood function allows estimation of the dispersion parameter, initial density, and125 capture efficiency, given the hit matrix, catch and area swept.

126 (5) LL(
$$D_0$$
,  $k$ ,  $e$ ,  $\gamma \mid Ci$ ,  $EAS$ ) =  $k \sum_{i=1}^{l} \log(k) - \log(D_0(EAS) + k)) +$ 

127 
$$\sum_{i=1}^{l} (log(D_0(EAS)) - log(D_0(EAS) + k)) + \sum_{i=1}^{l} \sum_{j=1}^{Ci} log(k+j-1) - \sum_{i=1}^{l} Ci!$$

# 128 Simulated Datasets

Poussard et al. (2021) report the results of 5,400 simulated depletion experiments conducted in a 129 130 block design in which animal density, true dredge efficiency, the number of tows per experiment, and the dispersion of animals on the bottom were varied. For the purposes of this study, 3,600 131 additional simulations with 15 and 25 dredge tows were conducted in order to provide a 132 133 simulated dataset that is comparable to the in-field experiments, for a total of 9,000 simulations (Table 1). The simulated dataset included 5 options for the number of dredge tows for each 134 experiment, 4 dispersions of clams in the area, 3 clam densities, and 3 values for the 'true' 135 efficiency of the dredge. Fifty simulations were conducted for each combination of factors (e.g., 136 fifty simulations were conducted with 25 tows with a dredge efficiency of 0.9 and clams 137 distributed evenly through the area with a density of 3 clams m<sup>-2</sup>, and 50 more were conducted 138 with all the same factors except with a density of 1.5 clams  $m^{-2}$  and so on). Fifty tow patterns 139 were randomly generated for each tow number. Here we discuss four useful metrics for 140 comparing depletion experiments, along with the estimates of efficiency and density. The four 141 metrics are the average effective area swept (EAS) (Equation 3), the coefficient of variation 142 (CV) for the efficiency estimate ( $cv_e$ ), the CV of the k parameter ( $cv_K$ ) (the negative binomial 143 144 dispersion parameter), and the overlap score describing tow overlap (Equation 7). The CVs were calculated using the delta method standard deviation of the Patch Model estimates divided by the 145 means of the estimates obtained from the log likelihood equation (Equation 5). 146

147 The overlap score (OS) is a metric describing tow overlap that does not depend on 148 estimated efficiency, or the spatial dimensions of the site. OS is derived directly from the hit matrix 149 (Hennen et al., 2012) where the *n* rows equal the number of tows in the experiment and the *m* 150 columns are the number of points touched *m* times previously. The highest possible amount of 151 overlap for any depletion site would be the exact duplication of the longest tow in each sequence 152 (the row with the most total points touched), repeated *n* times ( $OS_{max}$ ). For tow *i*:

153 (6) 
$$OS_i = \sum_{h=i}^{m} (p_{i,h}h)$$

where  $p_{i,h}$  are the number of points in the hit matrix row *i* and column *h*. The OS for each tow sequence is then

156 (7) 
$$OS = \frac{\sum_{i}^{n} OS_{i}}{OS_{max}} \times 100$$

where *n* is the total number of tows in the sequence. The value of *OS* varied nonlinearly with tow
number. As a consequence, the values were detrended by using the mean *OS* value for that tow
number to standardize all OS values of the same tow number. A higher value of *OS* equates to
more dredge overlap in the tow structure of an experiment for a given number of tows.

For the simulations, where the true efficiency was already known, Poussard et al. (2021) calculated the percent error in efficiency from the Patch Model estimate of efficiency, *EstEff*, and the inherent efficiency specified in the simulation, *TrueEff*, as:

164 (8) 
$$Error = \frac{EstEff - TrueEff}{TrueEff} \times 100.$$

Analysis of simulated depletion experiments by Poussard et al. (2021) concluded that a depletion experiment is more likely to produce accurate gear efficiency estimates if it employs a higher number of dredge tows, maximizes the amount of intersection in tow paths, occurs over a homogenous as opposed to patchy distribution of clams, and a highly efficient dredge. The results of these simulations were compared to the field depletion experiments using the set of 4 metrics to match the field experiments to simulated experiments with similar characteristics. The known errors in the set of comparable simulated experiments were then used as a proxy for thereliability of each of the 50 field experiments.

# 173 Application of Simulations: Error Estimates

174 Field experiments varied in the length of the depletion site and the width of the dredge used. For

statistical analysis, EAS was standardized to a dredge width of 3.81-m and a site length of 960-m

176 consistent with the simulation dataset of Poussard et al. (2021), using a proportional

standardization. All EAS values used were the average values per tow, rather than the total

values, to take into account the large range in tow numbers among experiments.

A Principal Components Analysis was conducted on the simulation dataset to determine

if the 4 metrics describing depletion performance (EAS,  $cv_E$ ,  $cv_K$ , and OS) were correlated and,

181 if so, to derive new orthogonal metrics. The data were standardized to a mean of 0 and a standard

deviation of 1 and factors were designated using Varimax rotation. Factor loadings showed that

each of the four metrics loaded on separate axes with loads exceeding 0.95; thus, the 4 metrics in

their original form are approximately orthogonal and provide independent information for

185 evaluating experimental performance.

Field experiments were matched to a subset of the simulated depletion experiments 186 through an iterative process. For each field experiment, the values of the 4 metrics were 187 188 compared to the 9,000 simulations. Experiments were compared to the simulation dataset by determining whether the values of each of the four metrics for a given in-field depletion 189 experiment fell above or below the mean value for the metric from the simulation dataset. This 190 191 generated a 4-digit integer sequence (e.g., 1011) for any given field experiment with a 1 assigned if the field experiment metric fell above the mean of the simulated experiments metrics and a 0 if 192 below. The same set of integer sequences were calculated for each simulation and compared to 193

194	the mean of the metrics for all simulated experiments. Then the subset of simulations having the
195	same sequence as the in-field experiment was extracted from the dataset. The means of the
196	metrics for this subset were again calculated and compared to the field experiment, generating a
197	new 4-digit sequence. This sequence, in turn, was used to extract a subset of simulated
198	experiments of identical sequence. This process was repeated sequentially on each extracted
199	subset, with the mean values for the simulated experiments being updated using only the
200	extracted subset, until none of the final subset of simulations had the same 4-digit value as the
201	chosen field experiment. These were considered to be the most comparable simulations to the
202	field experiment in question. This "most comparable" subset typically numbered 2-20 of the
203	9,000 simulations and was used to describe the average simulated four metrics and the average
204	error in efficiency most appropriate for comparison to the known (Tables 2 and 3).
205	Each simulation in the extracted subset of simulations was run using a specified
206	dispersion of clams. The distributions of clams were organized as follows: a relatively uniform
207	distribution across the depletion rectangle (denoted as NP), patches oriented across the narrow
208	dimension (P), patches oriented longitudinally (HP), and patches of a triangular nature emanating
209	from one side of the rectangle (T) (Fig. 3). The fraction of chosen simulations assigned to each
210	in-field experiment falling into each of these categories was obtained to describe possible
211	similarities in clam dispersion characteristics in the area occupied by the in-field depletion
212	experiment.
213	Comparisons between field experiments and simulations were made using 4 error terms
214	chosen to determine which of the in-field depletion experiments diverged the most from the
215	identified "most comparable" simulations using the 4-integer test. Two error terms describe how

closely the 4 experiment metrics derived from the field experiments (EAS,  $cv_E$ ,  $cv_k$ , and OS)

agreed with the same metrics obtained from the extracted subset of the simulations, henceforthreferred to as Err1 and Err2:

219 (9) 
$$Err1 = \sum_{j=1}^{4} \frac{abs(observed - expected)}{expected}$$

220 (10) 
$$Err2 = \sum_{j=1}^{4} \frac{(observed - expected)^2}{expected}$$

where the observed metric is obtained from the field experiment and the expected metric is theaverage value of the extracted simulations.

Err3 is the average percent error obtained from the simulation subset obtained by comparing the field estimate of efficiency with the known efficiency used in the simulation (Equation 8). Err3 was modified as a simple difference between the averages obtained from the simulation subset as Err4:

Caveat lector; no metric exists that can definitively estimate the accuracy of an in-field 228 depletion experiment, as the true efficiency perforce is unknown. The four error estimates relate 229 attributes of a large set of simulated experiments, which use combinations of 4 different 230 depletion experiment characteristics to describe how precisely the Patch Model estimate of 231 efficiency returned the known efficiency specified in the simulation. In this study, we use these 232 four error estimates to identify in-field experiments which have characteristics that resemble the 233 4 performance characteristics in the simulations of Poussard et al. (2021): the  $cv_E$ ,  $cv_K$ , the OS, 234 and the EAS. 235 **Statistics** 236

Unless otherwise indicated, statistics used SAS Version 9. Field experiments that fell at or above
the 80<sup>th</sup> percentile for one or more of the 4 error estimates were compared to the remaining
experiments falling below the 80<sup>th</sup> percentile using a Wilcoxon rank sum test (Sokal & Rohlf,

1998) to determine if the flagged subset of in-field experiments were a random subset of all in-field experiments, as determined by the 4 error estimates and other metrics as earlier described.

The relationship between descriptors of Patch Model performance, including efficiency 242 and density estimates, and descriptors of the experiment such as location, depth, and target species 243 in the field experiments were resolved using correspondence analysis (Clausen, 1998). For this 244 purpose, continuous variables were classified into quartiles (1-4), and error terms were entered as 245 246 1 (below the 80th percentile) or 2 (at or above the 80th percentile) (Table 4). Table 4 identifies the variables used to specify the coordinate system for the correspondence analysis and a series of 247 supplementary variables assigned coordinate positions (Clausen, 1998). Of note, the error terms 248 249 were all designated supplementary variables, meaning that they did not determine the axes in the correspondence analysis and were added retrospectively to provide context. 250

Pearson correlations (R Core Team, version 3.6.0) were conducted on variables describing the in-field experiments to determine how factors such as dredge width, experiment area width, number of tows, year, and latitude correlated with Patch Model efficiency, density, and *k*parameter estimates.

255 **Results** 

# 256 Field Depletion Experiment Characteristics

The mean and median efficiency estimates, density estimates, and *k*-parameter estimates for the 50 in-field depletion experiments are provided in Table 5. The mean value of the efficiency estimates for the 31 depletion experiments targeting surfclams is 0.635 and the mean value of the efficiency estimates for the 19 depletion experiments targeting ocean quahogs is 0.586 (Fig. 4). The mean density estimate for surfclam depletion experiments is 1.496 clams m<sup>-2</sup> and the mean density estimate for ocean quahog depletion experiments is 1.184 clams m<sup>-2</sup>. These densities are

well above the average stock density for both species as the depletion experiments were

263

264	purposely sited in high-density areas. The mean k-parameter estimate for the surfclam
265	experiments is 12.097 and the mean for the ocean quahog experiments is 7.724.
266	Most depletion experiments targeting ocean quahogs were conducted at higher latitudes
267	and at deeper depths than depletion experiments targeting surfclams (Table 6). For ocean quahog
268	depletion experiments, higher efficiency estimates were produced further north (Fig. 1).
269	Surfclam depletion experiments produced higher efficiency estimates off the coast of New Jersey
270	(Fig. 2).
271	Over the 14 years that depletion experiments were conducted, methodology and gear
272	changed. Dredge width, for example, gradually increased from 2.55-m to 3.8-m. The number of
273	dredge tows used in each experiment varied through the years as well. The majority of
274	experiments, especially in later years, used between 15 and 20 tows, but some experiments
275	between 1997 and 2000 used as few as 4 dredge tows and as many as 39 tows.
276	Correlation Analysis
277	Efficiency estimates for ocean quahog depletion experiments are significantly positively
278	correlated with latitude (see Fig. 1) and the width of the dredge (Fig. 5). Efficiency is
279	incorporated into the equation to calculate EAS, therefore the correlation between efficiency and
280	EAS is expected and correlations between efficiency and other variables will be reflected by
281	correlations between EAS and those same variables. Year is incorporated into the correlation
282	analysis to see how parameters changed over time. As noted, dredge width increases with year,
283	and tow number and depth decrease over time. The $cv_E$ , is negatively correlated with the number
284	of tows and strongly positively correlated with the CV of the density estimate ( $cv_D$ ) (Fig. 5-6). In
285	surfclam depletion experiments, as opposed to ocean qualog experiments, the $cv_K$ is

significantly positively correlated with the  $cv_D$  (Fig. 6). In the case of surfclams, no correlation 286 287 exists between latitude and the efficiency estimates, but density estimates are negatively correlated with the latitude and efficiency estimates. 288 **Error Estimates and Wilcoxon Tests** 289 In-field depletion experiments with parameter estimates that fell at or above the 80<sup>th</sup> percentile of 290 their respective "most comparable" simulated experiments, for one or more of the four error 291 estimates are denoted by asterisks in Table 6. The 80<sup>th</sup> percentile, corresponding to a 90<sup>th</sup> 292 percentile one-sided threshold, was used to retain a high probability of including marginal 293 experiments in the group flagged as suspect, recognizing that this threshold may entrap some 294 295 experiments of higher quality. Effectively, the result was to err on the side of removing a few "good" field depletion experiments rather than keep a few "bad" ones. 296 Of the 50 depletion experiments, 24 fell at or above the 80<sup>th</sup> percentile for one or more of 297 the error estimates. Experiments falling at or above the 80<sup>th</sup> percentile for error terms Err1 298 (Equation 9) and Err2 (Equation 10) are experiments that differed substantially from the chosen 299 subset of simulations for one or more of the four metrics describing the depletion experiments, 300 the  $cv_E$ , the  $cv_K$ , the number of tows, and the EAS. These in-field experiments were not well 301 described by the most similar subset of simulations. The possibility that the range of values for 302 303 EAS might influence the differential in the results for Err1 and Err2 was tested by recomputing Err2 using log<sub>e</sub>(EAS). The set of experiments flagged by Err2 did not change. 304 Err3 (Equation 8) and Err4 (Equation 11) were used to identify field experiments using 305 306 an alternate method to infer poorly estimated efficiency. In this case, comparison was made to error estimates from a subset of similar simulations each with a known error estimate. Field 307 experiments most similar to simulation subsets that yielded high Err3 and Err4 were flagged 308

309 (defined by falling at or above the 80th percentile).

When in-field experiments produced parameter estimates that fell at or above the 80<sup>th</sup> 310 percentile for an error term (they are then "flagged" by that error term; Table 7), are compared to 311 the experiments below the 80th percentile, some differences in Patch Model estimates of gear 312 efficiency and clam density come to light. These highlight that the four error metrics are 313 operationally different and evaluate experiment performance in different ways. For example, the 314 experiments that were flagged by Err2, Err3, and Err4 have lower average and median efficiency 315 estimates than experiments flagged by Err1. The relationships between the in-field experiments 316 flagged by one or more error terms with the rest of the dataset were evaluated using Wilcoxon 317 318 rank sums tests (Table 8). Experiments flagged by Err1 did not differ significantly from the remaining experiments for any of the measured depletion parameters. In each case, the identified 319 experiments were distributed randomly within the full set of in-field experiments with respect to 320 the different error terms tested. If the experiments flagged by Err1 are removed from the 321 analysis, the mean and median efficiency estimates of the remaining in-field depletion 322 experiments are not significantly different from the mean and median estimates for the entire 323 dataset. In dramatic contrast, for experiments flagged by Err2, Err3, and Err4, the Patch Model 324 efficiency estimates differed substantially from the remaining in-field experiments. In addition, 325 326 experiments flagged by Err3 and Err4 had substantially different  $cv_{K}$  and EAS values than 327 experiments that were not flagged (Table 9). Interestingly, all 9 experiments flagged by Err3 were among the 10 flagged by Err4, yet Err3 experiments display significantly different  $cv_{\rm F}$  and 328 329 cv<sub>D</sub> estimates whereas the group of experiments flagged by Err4 do not.

# 330 Correspondence Analysis

331 Correspondence analysis shows that variance in descriptor metrics is primarily explained by the

first 2 axes (Fig. 7). Table 4 describes the abbreviations in the Figures 7-9. The experiments 332 flagged by the error terms (R1-4) are included as supplementary variables. The dispersions of 333 clams (Fig. 3) were also added as supplementary variables, however they are not included in the 334 figures because each fell near the center of the correspondence plot. Dimension 1 (Fig. 7-8) is 335 determined primarily by Patch Model metrics including the estimate of efficiency, the cv<sub>E</sub>, the 336 cv<sub>D</sub>, the width of the dredge, and the EAS (Table 10). Low EAS (indicating more dredge 337 overlap, low efficiency, or small experimental area), low efficiency estimates, high  $cv_E$  and  $cv_D$ , 338 and smaller dredge sizes, along with experiments falling at or above the 80<sup>th</sup> percentile for error 339 estimates Err2, Err3, and Err4, fall on the positive (right) side of Dimension 1. High efficiency 340 341 estimates, high EAS, larger dredge sizes, and low  $cv_{\rm E}$  and  $cv_{\rm D}$  fall on the negative (left) side of Dimension 1. 342

Dimension 2 (Fig. 7-8) is categorized by the species (ocean qualog and surfclam) and 343 other variables relating to the location of the depletion experiments for the two species, such as 344 depth, latitude, and region. The positive values are variables relating to ocean qualog depletion 345 experiments, such as higher latitudes and deeper depths. Negative values are variables relating to 346 surfclam depletion experiments: lower latitudes (Fig. 2) and shallower depths. Ocean qualog 347 experiments were typically conducted further north (Fig. 1) than surfclam experiments and the 348 349 species is generally found at deeper depths than surfclams. Dimension 3 (Fig. 8-9) is characterized by the  $cv_K$ , and high efficiency and high EAS fall on the positive side. 350 The parameters describing clam distribution do not fall on any axis and are grouped in 351

the middle of the correspondence analysis graphs on all dimensions, therefore they are not included in the figures in order to improve clarity. Although clam distribution clearly affects the outcome of individual experiments as observed through simulation analysis (Poussard et al.

2021), this effect is distributed across the experimental spectrum, distributing uncertainty in a

355

356	relatively random way with respect to the in-field experimental dataset.
357	Discussion
358	Forensics on Efficiency Estimates
359	The four error terms identify in-field depletion experiments that have attributes that engender
360	misgivings as to their quality. Since the 4 metrics, $cv_E$ , $cv_K$ , OS, and average EAS used to
361	generate two of the error estimates (Err1 and Err2) are orthogonal to each other, identification of
362	a subset of experiments based on Err1 and Err2 suggests that these experiments are characterized
363	by an unusual distribution of these 4 descriptive metrics. It is important to note that using a log
364	transformation of EAS does not change the experiments that were flagged by these two error
365	metrics. A close fit to the values of these 4 metrics was not found amongst the 9,000 simulations
366	of Poussard et al. (2021) which covered a wide range of experimental protocols and field
367	conditions of clam dispersion (Table 1). The absence of a close fit generates reason to suspect
368	that these experiments may be uninformative or at least have produced inaccurate efficiency
369	estimates. Error terms 3 and 4 (Err3 and Err4) relate to an inferred error in the efficiency
370	estimates, also gleaned from comparison to the simulation dataset of Poussard et al. (2021).
371	Experiments flagged by these error metrics were "most comparable" to simulations with high
372	error in efficiency estimates based on the 4 described parameters, and potentially have high error
373	in efficiency themselves. Nine out of ten experiments flagged by Err4 were also flagged by Err3,
374	as these two metrics are very similar. These experiments may be uninformative or at least have
375	produced inaccurate efficiency estimates. Ultimately, due to the forensic nature of the error
376	terms, and the inability to evaluate all possible experimental conditions (e.g., all possible tow
377	numbers, clam distributions, or all possible true efficiencies), the inference that the flagged

experiments produced uninformative or inaccurate efficiency estimates cannot be affirmed. In
aggregate, however, the evidentiary weight points to a subset of in-field experiments of lower
quality than the remainder.

Interestingly, the experiments flagged by Err1, which might identify suspect experiments, 381 exert less influence on the final objective of determining the efficiency of hydraulic dredges. The 382 distribution of these experiments is unbiased relative to the remaining experiments, regardless of 383 384 the metric used for comparison (Table 6). The same cannot be said for Err2, Err3, and Err4. The series of 16 depletion experiments that fall at or above the 80<sup>th</sup> percentile for Err2, Err3 and Err4 385 are shown to be clearly biased relative to the remaining experiments based on Wilcoxon rank 386 387 sums tests (Table 8) and this bias is reinforced by correspondence analysis (Fig. 7-9). In addition, the direction of bias is noteworthy. Experiments identified by Err2, Err3, and Err4 are 388 characterized by lower efficiency estimates on average, and their inclusion may bias the overall 389 efficiency estimates used to inform stock assessments. 390

In correspondence analysis, Err2, Err3, and Err4 also fall on the same dimensional axis as 391 a lower EAS value. Low EAS and low efficiency generally occur together, as the efficiency value 392 is a variable in the equation determining EAS (Equation 3). The relationship is well-documented 393 by Poussard et al. (2021). This expectation is confirmed in the in-field depletion experiment dataset 394 by Pearson correlation (Fig. 5-6). EAS is also positively correlated with year for ocean guahog 395 experiments, and with dredge width for both ocean qualog and surfclam experiments (Fig. 5-6). 396 The relationship is driven by the largest dredge (3.81-m [12.5-ft]); experiments with this dredge 397 398 size clearly demonstrating superior performance.

Higher OS in a depletion experiment does not always reduce uncertainty in Patch Modelestimates. An explanation for this may come from the pragmatic efforts of a field experiment.

Depletion experiments are costly in vessel time and crew effort, often requiring more than 8 hours 401 of nearly continual dredging. Cost at sea was sufficient that adaptive time management during the 402 experiment was directed at limiting tow number, albeit with limited empirical guidance to 403 determine the stopping point for the depletion experiment. One consequence of adaptive time 404 management during the depletion experiment was a decision to add tows if the experiment 405 appeared not to be generating a clear and consistent reduction in catch per tow. Correspondence 406 407 analysis demonstrates the danger of the use of adaptive decisions during depletion experiments without rigorous empirical determining criteria designed to optimize the cost and benefit of 408 increased tow number. The danger of terminating a depletion experiment early based on a 409 410 potentially misleading depletion curve is present as well. The amount of overlap in dredge tows, OS, did not fall out cleanly in any of the dimensions on the correspondence analysis, the opposite 411 of expectation based on the clear improvement afforded by higher tow numbers, and more tow 412 overlap, in the simulation study of Poussard et al. (2021). However, the absence of OS does not 413 diminish its effect on gear efficiency estimates in depletion experiments, but that its importance is 414 unbiased relative to the variables establishing the dimensions. This is also true for the distribution 415 of clams in space (e.g. NP, P, T, HP [Fig. 3]). Notably, both sets of variables, which in some 416 fashion are measures of dredge overlap with tow paths or with clams in the area, are both relatively 417 418 unbiased parameters; that is, they are not associated with any depth, dredge size, species, or other 419 characteristic of the experiment. The distribution of clams relative to the distribution of tows is a critical constraint on efficiency estimation. 420

421 Correspondence analysis clearly reveals the relationships earlier identified by the
422 Wilcoxon tests and by the Pearson correlations. The three error metrics, Err2, Err3 and Err4,
423 which were shown to be highly significant in the Wilcoxon analyses fall on the positive side of

424	Dimension 1 along with the parameters and experiment characteristics significantly influenced
425	by them. Err1, which did not demonstrate significant differences in the Wilcoxon tests, falls near
426	the origin in all three dimensions, indicating that the experiments identified by this error estimate
427	are more or less randomly distributed throughout the in-field depletion dataset. A tendency for
428	larger dredges to be associated with improved experimental performance is seen in Figure 13;
429	however, the influence of dredge size is complex as the various dredge sizes do not fall in order
430	of size on Dimensions 1 or 2. Very likely, dredge size to some extent is conflated with other
431	variables such as species, year, and depth, being determined more by boat availability and
432	increased familiarity of the crew and scientific staff with depletion experiment methodology over
433	time than experiment performance, with the clear exception of the largest dredge size. The fact
434	that species falls near the origin on Dimensions 1 and 3 shows the similarity in efficiency
435	estimates for the two species, which are separated essentially solely by depth.
436	In the correspondence analysis, Err2, Err3, and Err4 are associated with experiments
437	characterized by smaller dredges, higher $cv_E$ values, and higher $cv_D$ values. These characteristics
438	co-occurring instill suspicion as to the quality of the results obtained from a subset of the
439	depletion experiments. Essentially, experiments falling at or above the 80th percentile for Err2,
440	Err3, and Err4 are associated with experiments that have low efficiency estimates. Experiments
441	most similar to simulations with high error in efficiency estimates were flagged by Err3 and
442	Err4, indicating that these experiments could have high uncertainty in efficiency estimates
443	themselves, strongly suggesting deletion of these experiments from further evaluation of the
444	inherent efficiency of hydraulic clam dredges.

# 445 Estimation of Density

446 Interestingly, experiments with high  $cv_D$  are grouped with the low efficiency experiments

identified by Err2, Err3, and Err4 in the correspondence analysis, indicating that experiments 447 with more uncertain clam density estimates also produced low efficiency estimates and were 448 flagged by the error metrics. Poussard et al. (2021) clearly show that the accuracy of efficiency 449 estimates and the density of clams in the area are not correlated in simulated depletion 450 experiments, save for instances where low clam density combines with an irregular distribution 451 of clams in the benthos to bias efficiency low. Efficiency estimates not being influenced heavily 452 453 by clam density is a logical outcome based on an expectation that hydraulic dredges should be equally efficient whether used in low-density or high-density regions. The apposition of high CV 454 for the density estimate and low efficiency is likely a product of high uncertainty in the density 455 456 estimate co-occurring with high uncertainty in the efficiency estimate. This could be indicative of an experiment design failing or environmental parameters not being conducive to estimating 457 accurate and precise efficiency and density estimates. 458

The accuracy of the Patch Model density estimate was evaluated thoroughly in Hennen et 459 al. (2012). The k-parameter was not evaluated for accuracy in that study because a negative 460 binomial distribution was not used to create the distribution of clams. The k-parameter is 461 indirectly related to the distribution of clams and tow distance (Hennen et al., 2012). The 462 simulations of Poussard et al. (2021) show that the k-parameter estimates are higher with a 463 464 uniform distribution of clams and lower with a more irregular distribution of clams. This parameter is influenced by the same conditions of the experiment that influence efficiency, but 465 correspondence analysis does not cleanly separate the k-parameter or its CV from other variables 466 467 such as the efficiency estimate, density estimate, depth, region, dredge width, and the  $cv_E$  and cv<sub>D</sub>. (Fig. 8 and 9). Correspondence analysis identifies a tendency for low uncertainty in the k-468 parameter (the  $cv_K$ ) to be associated with lower efficiency and higher  $cv_E$ , the same grouping of 469

470 experiments that are flagged by the error terms.

471 Poussard et al. (2021) showed clearly that the dispersion of clams on the bottom can cause a decrease in performance in the depletion experiment. This outcome is exacerbated by 472 low tow number and low amount of tow overlap. In practice, even an ideal experiment, with 473 many dredge tows and a high degree of overlap in the tow paths, would appear to be susceptible 474 to producing an unreliable efficiency estimate if the distribution of clams in the benthos is 475 476 irregular. Clam dispersion is a random effect for the in-field experiments, despite its documented importance in determining outcomes. This is consistent with the fact that the locations for the 477 experiments were chosen without any *a priori* knowledge of the local clam dispersion 478 479 characteristics at the site. As Hennen et al. (2012) concluded, having divers or remote optical methods determine the size, location, and approximate density of clam aggregations would be 480 useful in choosing the site and tow pattern in a depletion experiment. 481

# 482 Factors Affecting Field Outcomes

The size of the dredge is related to the efficiency estimated, with larger dredges being used with 483 experiments with higher efficiency estimates. Smaller dredges were used in many experiments and 484 these contributed disproportionately to the subset identified by error estimates Err2, Err3, and Err4 485 (Fig. 7). It may be that smaller dredges are harder to control precisely, which could lead to greater 486 487 uncertainty in the exact position of the dredge, which can lead to error in the estimation of efficiency (Hennen et al., 2012; Willberg et al., 2013). The majority of flagged experiments 488 identified by the four error estimates were conducted in 1997, 1999, and 2005, and among these 489 490 experiments are those categorized as having lower efficiency estimates with more uncertainty in the estimate. Although speculative, two possibilities may be forwarded explaining this trend. A 491 wider dredge may be inherently more efficient as loss in efficiency is likely associated with the 492

encounter of clams near the lateral edges of the dredge knife blade, and these clams are a lower 493 fraction of the potential catch with the larger dredge. In addition, the narrow dimension of the 494 depletion rectangle was generally set at 10 dredge widths; thus, the larger dredge was used to 495 deplete larger regions which may have reduced the influence of small-scale variations in clam 496 dispersion within the depletion rectangle. It is noteworthy that experiments conducted with the 497 largest dredge were in later years, when depletion experiment methodology was more consistent 498 499 among experiments, and produced higher efficiency estimates, yielding higher OS measurements. Accordingly, the improved performance cannot unequivocally be assigned to the larger size of the 500 501 dredge used.

502 Location of the depletion experiment might also affect the efficiency estimate. Ocean qualog depletion experiments conducted off Long Island have higher efficiency estimates than 503 experiments conducted further south. The relationship is shown objectively (Fig. 1) and in 504 correlation (Fig. 5). The correlation analysis does not show a significant relationship between 505 latitude and the efficiency estimate, but this result accrues from the inclusion of high-efficiency 506 surfclam experiments that took place further south (Fig. 2). The relationship is not associated with 507 dredge width, although efficiency and dredge width are significantly correlated for ocean quahog 508 experiments (Fig. 5). These experiments took place in deeper water, on the average, but correlation 509 510 and correspondence analysis agree that depth, per se, does not influence outcomes. Edaphic factors may be examined as proxies for the influence of region, but little information is available to make 511 a determination. 512

513 Depth might be considered to be an effective variable determining the success of a 514 depletion experiment for hydraulic dredges as these dredges are operated using an onboard water 515 pump attached to the dredge by means of a large hose. The vessel is less maneuverable in deeper

water due to the increased amount of hose required to maintain an adequate scope while
dredging. Surprisingly, neither correlation analysis nor correspondence analysis offers any
evidence for a significant correlation between depth and experimental performance or the final
efficiency estimate. Depth related variables, in fact, fall orthogonally to experiment performance
metrics and error estimates Err2, Err3, and Err4 in correspondence analysis.

# 521 Conclusion

When 16 experiments (7 surfclam and 9 ocean qualog experiments) that fell at or above the 80<sup>th</sup> 522 percentile for error estimates Err2, Err3 and Err4 are removed from the in-field depletion dataset, 523 the mean efficiency estimate increased from 0.635 to 0.694 for surfclam experiments (Table 11). 524 525 The median likewise rose substantially from 0.590 to 0.647 and the interguartile range, though remaining relatively unchanged in dimension, shifted to higher efficiency values. The mean 526 efficiency estimate for ocean qualog experiments increased from 0.586 to 0.711, the median also 527 rose from 0.629 to 0.667. The interguartile range was substantially reduced in dimension and 528 also shifted to higher efficiency values. The efficiency estimates for the dataset after removal of 529 experiments flagged by an error term are included to show that Err1 experiments do not have 530 efficiency estimates that are biased in either direction and do not meaningfully negate the trends 531 established by the other three error terms. Interestingly, the mean and median efficiency 532 533 estimates for these hydraulic dredges targeting surfclams and ocean quahogs are nearly identical. Neither the species nor the presence of one generally in deeper water than the other significantly 534 influences the overall efficiency which stands at approximately 70% regardless of mean or 535 536 median determination.

537 The analyses of this paper permit the evaluation of the factors involved in defining a538 standard operating protocol for experiments of this kind which are inherently extremely

expensive to conduct. Several metrics defining the success of the experimental design will likely
be unknowns, such as the dispersion of clams on the bottom. As a consequence of the uncertainty
behind these experiments, a sufficient number of replicates will always be required in order to
provide a useful recommendation for catchability. Other studies of dredge calibration with a
significant number of experiments (Hennen et al. 2012, Morson et al. 2018) come to effectively
the same conclusion.

545 Patch Model estimates are useful to inform future stock assessment models, for example, capture efficiency estimates can be used to form prior distributions for catchability parameters 546 (NEFSC, 2009, 2010a,b). However, these estimates are only as useful as the data from the 547 depletion experiments used to inform the Patch Model. Three groups of experiments have 548 significantly different efficiency estimates and CVs from the remainder, as shown by the 549 Wilcoxon rank sums tests conducted on efficiency estimates flagged by Err2, Err3, and Err4. 550 Though these error estimates can only be used to infer experimental quality, they identify 551 experiments with a range of questionable attributes which strongly implicate them as outliers 552 biasing the efficiency estimates for the entire dataset. Removing these questionable experiments 553 from the NMFS depletion dataset provides the best estimates of efficiency for these commercial 554 hydraulic dredges and indicates that these are highly efficient dredges which minimize the 555 556 degree of bottom contact relative to the catch.

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True Efficiency	0.9	0.6	0.2		
Clam Density (# m <sup>-2</sup> )	0.75	1.5	3.0		
Clam Distribution	NP	HP	Р	Т	
Number of Tows	40	25	20	15	10

Table 1: Metrics used in the simulation analysis block design of Poussard et al. (2021). All combinations of the four parameters were simulated: 50 simulations for each tetradic combination were conducted. Clam distribution is denoted as NP: uniform across the area, P: patches oriented across the narrow dimension, HP: patches oriented longitudinally, and T: patches of a triangular nature emanating from one side of the rectangle (see Figure 1).

Depletion Experiment OQ08-02: estimated efficiency: 0.79507								
			CV	CV k				
			Efficiency	parameter	er $EAS(ft^2)$			
Simulations'	Average V	alues	5.477	41.966	22	9606.0		
Val	lues from C	Q08-02	9.361	35.067	26	4843.7		
Mean A	Absolute Er	ror Estimate	0.1003	Range	0.0	001-0.4		
Error in				CV				
Efficiency	Density	Clam	True	Efficiency	CV k			
Estimate	$(\#/m^2)$	Distribution	Efficiency	Estimate	Parameter	EAS (ft <sup>2</sup> )		
0.6667	0.75	HP	0.6	0.009	38.4412	243287.3		
0.6667	1.5	HP	0.6	0.009	38.6189	243287.3		
0.6667	3	HP	0.6	0.019	37.5421	245107		
0.1517	0.75	Р	0.6	13.4774	47.8368	242744.3		
0.0633	0.75	Р	0.6	14.3416	46.6667	244304.5		
0.15	0.75	Р	0.6	7.3768	47.6762	247782.9		
0.105	1.5	Р	0.6	9.4721	46.1634	243845.6		
0.0633	1.5	Р	0.6	6.3793	47.7372	244304.5		
0.0883	1.5	Р	0.6	5.4058	47.5397	247782.9		
0.6667	0.75	Т	0.6	0.071	41.7476	243287.3		
0.6667	0.75	Т	0.6	0.02	41.2644	245107		
0.6667	1.5	Т	0.6	0.019	41.018	245107		
0.6667	3	Т	0.6	0.121	41.6268	243287.3		
0.0905	0.75	NP	0.6	5.7007	42.9225	221701.2		
0.0572	0.75	NP	0.6	4.992	41.7308	223632.1		
0.0359	0.75	NP	0.6	6.4963	40.6921	222596.4		
0.0778	0.75	Р	0.6	7.6546	48.6502	221701.2		
0.0017	0.75	Р	0.6	6.5863	48.5524	223970.1		

0.0483	3	Р	0.6	7.0053	38.5597	223970.1

Table 2: Parameters for 19 simulations that best compared to the depletion experiment OQ08-02. Clam distribution is denoted as NP (uniform), P (vertical bands), HP (clams in half the area), and T (diagonal across the area). See Figure 3.

SC04-01: estimated efficiency: 0.53334								
		CV Efficien	ncy	CV	k parameter	EAS	$(ft^2)$	
Simulations'	Average							
Value	S	14.564			25.703	1769	94.1	
Values from S	SC04-01	19.8354	28.0845		28.0845		1.61	
Mean Absolu	ite Error							
Estima	te	0.1471			Range	0 - 0.3	5817	
Error in					CV			
Efficiency	Density	Clam	Trı	ie	Efficiency	CV k		
Estimate	$(\#/m^2)$	Distribution	Effici	ency	Estimate	Parameter	EAS (ft <sup>2</sup> )	
0.3083	1.5	HP	0.0	6	24.2038	26.5866	203787	
0.2533	1.5	HP	0.0	6	26.1968	26.7742	206081.5	
0.425	3	HP	0.	6	27.2515	26.4758	203975.6	
0.4633	3	HP	0.	6	18.3371	26.422	203780.9	
0.4133	3	HP	0.0	6	18.75	26.8293	201607.8	
0.2533	0.75	Т	0.0	6	19.016	28.5385	202525.9	
0.445	0.75	Т	0.0	6	14.9942	28.6364	203780.9	
0.325	0.75	Т	0.0	6	13.2075	28.5484	200008.7	
0.2233	1.5	Т	0.0	6	19.2098	28.5821	202525.9	
0.3467	1.5	Т	0.0	6	19.9257	28.7838	203348.4	
0.0867	1.5	Т	0.0	6	23.6196	28.9172	201607.8	
0.0033	3	Т	0.0	6	23.4114	28.882	203787	
0.34	3	Т	0.0	6	19.9005	28.8194	203348.4	
0.4167	3	Т	0.0	6	14.7059	28.0702	201909.8	
0.0317	1.5	NP	0.0	6	1.7609	25.3618	146398.1	
0.025	1.5	NP	0.0	6	1.3886	26.9719	147346.4	
0.0083	3	NP	0.0	6	1.3091	24.6763	147972.6	
0.0383	0.75	Р	0.0	6	2.4398	26.3924	145011.1	
0.0233	1.5	Р	0.0	6	2.2964	25.07	145011.1	

Table 3: Parameters for 19 simulations that best compared to the depletion experiment SC04-01. Clam distribution is denoted as P (vertical bands), HP (clams in half the area) and T (diagonal across the area). See Figure 3.

Correspondence Analysis Legend							
Patch Mod	lel Outputs	Species					
E1, E4	E1, E4 Efficiency		Ocean quahog				
D1, D4	Density	S	Surfclam				
K1, K4	k parameter	Region					
C1, C4	CV Efficiency	LI	Long Island				
N1, N4	CV Density	NJ	New Jersey				
P1, P4	CV <i>k</i> parameter	DMV	Delmarva				
Experimen	t Descriptors	Error Terms					
S1, S4	EAS	R12	Err1				
T1, T4	OS	R22	Err2				
L1, L4	Latitude	R32	Err3				
Z1, Z4	Depth	R42	Err4				
8.33, 10, 10.83, 12.5	Dredge Widths	PC.					

Table 4: Variables used in correspondence analysis. Error estimates were entered as supplementary variables (Clausen 1998). E,D,K,C,N,P,S,T,L, and Z were entered as quartiles; only quartiles 1 and 4 are shown in the graphs. Error estimates were entered as 1 (below the 80<sup>th</sup> percentile) or 2 (at or above the 80<sup>th</sup> percentile).

	Ocean Quahog (N=19)							
	Efficiency	Density (#/m <sup>2</sup> )	<i>k</i> Parameter	EAS (ft <sup>2</sup> )	Tow Number			
Mean	0.586	1.184	7.724	116701.2	17.433			
Median	0.629	0.094	6.165	92941.7	17.270			
Standard								
Deviation	0.113	0.646	3.045	93141.1	3.713			
Coefficient of								
Variance	0.357	16.907	0.613	0.798	0.189			
		Surfclam (1	N=31)					
	Efficiency	Density (#/m <sup>2</sup> )	<i>k</i> Parameter	EAS (ft <sup>2</sup> )	Tow Number			
Mean	0.635	1.496	12.097	146077.2	22.330			
Median	0.590	0.738	5.689	78852.3	19.143			
Standard		$\mathbf{O}$						
Deviation	0.131	1.786	3.011	157727.1	5.829			
Coefficient of								
Variance	0.206	12.855	0.351	1.121	0.193			

Table 5: Mean, median, mean standard deviation as estimated by the Patch Model, the effective area swept (EAS), the number of tows, and the mean CV for depletion parameters efficiency, density, and *k* parameter for the 50 field depletion experiments. The standard deviation and CV values for efficiency and density are the averages of the delta method uncertainties associated with patch model parameter estimation.

Experiment		Dredge					
ID	Region	Width(ft)	Tows	OS	Year	Latitude	Longitude
SC1997-							
2(*3,*4)	NJ	8.33	39	0.5237	1997	40.05317	-73.83917
SC1997-3	NJ	10.83	13	1.2586	1997	39.39317	-73.91033
SC1997-4							
(*1)	NJ	10.83	18	0.9197	1997	39.39317	-73.91033
SC1997-5	NJ	8.33	17	0.7535	1997	39.365	-73.89833
SC1997-6							
(*1)	NJ	8.33	19	0.6972	1997	39.365	-73.89833
SC1999-2	NJ	10.83	4	1.4151	1999	39.68133	-73.74667
SC1999-3							
(*2)	NJ	10.83	5	1.1389	1999	39.68133	-73.74667
SC1999-4	NJ	10.83	6	1.7098	1999	39.52133	-73.77867

SC1999-5					]		
(*1)	DMV	10.83	28	0.7257	1999	36.902	-74.97583
SC1999-6							
(*2)	NJ	10.83	4	1.1338	1999	39.56333	-73.91167
SC1999-7	NJ	10.83	10	0.7994	1999	39.768	-73.91633
OQ00-01							
(*2)	LI	12.5	22	0.6107	2000	40.60217	-71.9875
OQ00-02							
(*1)	LI	12.5	16	0.6647	2000	40.3945	-72.543
OQ00-03							
(*2,*3,*4)	LI	10	27	0.4191	2000	40.583	-72.79683
OQ02-							
01(*3,*4)	LI	10	24	0.7954	2002	40.72762	-71.7373
OQ02-02	LI	10	22	0.6755	2002	40.10312	-73.19108
OQ02-03	NJ	10	20	0.7304	2002	38.81491	-73.81335
OQ02-04							
(*3*4)	DMV	10	24	0.7645	2002	37.88755	-74.64486
SC02-02	NJ	10.83	16	0.7788	2002	40.10908	-73.84423
SC02-03							
(*3*4)	NJ	10.83	20	1.0199	2002	39.26923	-73.78116
SC02-04	DMV	10.83	18	0.7992	2002	38.85791	-74.02778
SC04-01	NJ	10	24	0.9250	2004	39.28611	-73.87778
SC04-02	NJ	10	20	0.8534	2004	39.58278	-74.02778
SC04-03 (*1)	DMV	10	20	1.0088	2004	38.27075	-74.3792
OQ05-01							
(*1*2*3*4)	LI	10	20	1.2952	2005	40.51903	-72.07617
OQ05-02							
(*1)	LI	10	21	1.3401	2005	40.38957	-72.3895
OQ05-03							
(*2*3*4)	LI	10	20	1.1380	2005	40.6422	-72.6517
OQ05-04		10		1 1 2 2 0			
(*2)	LI	10	17	1.1259	2005	40.6817	-72.18147
OQ05-06	TT	10	20	1.0002	2005	10.0555	70 41 (70
(*2*3*4)		10	20	1.0803	2005	40.0555	-/2.416/3
SC05-01	NJ	10	20	1.1/54	2005	39.2653	-/4.3/94/
SC05-02	NJ	10	1/	1.0985	2005	39.56383	-/3.90364
SC05-	NI	10	20	1 000 4	2005	20.00722	72 00501
03(*1*2)	INJ	10	20	1.0094	2005	39.89/33	-/3.90591
SC05-04 (*2*4)		10	20	1 2120	2005	20 56072	72 54046
(*3*4)	DIVIV	10	20	1.2129	2005	39.30972	-/3.34940
SC05-05	NJ	10	17	1.0779	2005	39.43615	-73.3732
OQ08-01	LI	12.5	17	0.8493	2008	40.93762	-72.04765
OQ08-02	LI	12.5	17	0.8783	2008	40.27445	-72.84397
OQ08-03	SNE	12.5	17	0.7940	2008	41.02307	-70.85472
SC08-01	NJ	12.5	13	0.8097	2008	39.30475	-74.05158
SC08-02	NJ	12.5	18	1.2103	2008	39.18136	-74.07645
SC08-03 (*1)	NJ	12.5	21	0.8772	2008	39.60343	-73.42194
SC08-04	NJ	12.5	17	0.9867	2008	39.81033	-73.9149
SC08-09	NJ	12.5	17	0.9607	2008	39.31328	-74.05285

OQ11-01							
(*2)	NJ	12.5	10	1.0210	2011	39.03003	-74.05774
OQ11-02	NJ	12.5	20	0.9027	2011	39.89356	-73.48104
OQ11-02S	NJ	12.5	18	1.1519	2011	39.8925	-73.475
OQ11-05	LI	12.5	22	0.9783	2011	40.13542	-72.1201
SC11-02 (*4)	NJ	12.5	20	0.9027	2011	39.89356	-73.48104
SC11-02S	NJ	12.5	18	0.9543	2011	39.8925	-73.475
SC11-03 (*1)	LI	12.5	14	1.0206	2011	40.567	-73.081
SC11-04	LI	12.5	17	0.9260	2011	40.641	-73.036

Table 6: Metrics for in-field depletion experiments targeting ocean quahogs and surfclams between 1997 and 2011. Region is identified as LI - Long Island, NJ - New Jersey, SNE -Southern New England, DMV - Delmarva. Experiments found falling at or above the 80th percentile for each error estimate are denoted with an asterisk (\*) followed by the number of the error estimate (1,2,3,4).

					Dong							
					-ity			k				
	Number		Eff	Eff	(# m <sup>-</sup>	Dens	Dens	Parame	k		EAS	
	flagged	Efficiency	SD	CV	2)	SD	CV	-ter	SD	k CV	$(ft^2)$	OS
Err1												
Average	10	0.514	0.12	26.8	2.26	0.49	214.34	5.41	2.57	88.6	1075010	0.96
Err1												
Median		0.567	0.12	24.06	0.67	0.13	193.92	4.52	1.99	32.24	152803	0.96
Err2												
Average	10	0.464	0.17	134.74	1.36	5.82	3933.21	20.94	2.91	59.22	1068334	1
Err2												
Median		0.551	0.11	25.3	0.88	0.23	189.55	6.68	2.24	31.95	114811	1.1
Err3												
Average	9	0.384	0.11	34.09	1.51	0.36	292.3	5.3	2.1	58.5	70873	0.92
Err3												
Median		0.381	0.1	32.08	0.97	0.36	238.35	4.45	1.58	29.87	67840	1.02
Err4												
Average	10	0.419	0.1	31.92	1.15	0.33	273.36	5.34	2.07	55.78	87557	0.92
Err4												
Median		0.435	0.1	27.39	0.92	0.32	205.66	5.07	1.62	29.91	68891	0.96

Table 7: Average and median values for depletion experiment parameters for the experiments falling at or above the 80th percentile for each error estimate. Efficiency SD, Density SD, and k parameter SD are Patch Model metrics from the maximum likelihood equation (Eq 5). CV metrics are calculated using Eq 6.

	Err1	Err2	Err3	Err4
Variable	Pr >  Z	$\Pr >  Z $	$\Pr >  Z $	Pr >  Z
Efficiency	-	0.0454	0.0004	0.0014
Efficiency CV	-	-	0.018	-
Density	_	-	-	-
Density CV	-	-	0.025	-
k Parameter	_	-	-	-
k Parameter				
CV	-	-	0.034	0.025
EAS	-		0.0001	0.0009
Tows	-		-	_

Table 8: Wilcoxon Rank Sums test results for depletion experiment variables classified by error

terms. Nonsignificance ( $\alpha \le 0.05$ ) is denoted by a dash (-).

	E	trr 2	E	rr3	Eı	r4
	< 80th Percentile	$\geq$ 80th Percentile	< 80th Percentile	$\geq$ 80th Percentile	< 80th Percentile	$\geq$ 80th Percentile
Efficiency		1 010011110				1 010011110
Mean	0.654	0.464	0.667	0.384	0.666	0.419
Median	0.645	0.551	0.652	0.381	0.652	0.435
CV Efficiency						
Mean	19.496	134.744	44.402	34.089	45.202	31.920
Median	19.232	25.299	16.789	32.075	17.325	27.392
CV <i>k</i> -						
parameter						
Mean	41.785	59.215	42.368	58.497	42.644	55.783
Median	32.924	31.953	33.139	29.869	33.257	29.913
Density (# m <sup>-2</sup> )						
Mean	1.389	1.356	1.345	1.507	1.367	1.421
Median	0.743	0.887	0.743	0.969	0.743	0.915
CV Density						
Mean	162.998	3933.081	1054.153	292.294	1077.931	273.350
Median	135.765	189.542	132.375	238.345	132.924	205.655
OS						
Mean	0.948	0.997	0.967	0.917	0.969	0.915

Median	0.911	1.103	0.926	1.020	0.940	0.961
EAS Mean	194273.5	1068334.2	434547.0	70873.0	439467.7	87557.2
Median	166725.7	114811.3	172934.9	67840.8	172768.5	68891.7

Table 9: A comparison of mean and median estimates of depletion parameters between

experiments above and below the 80<sup>th</sup> percentiles for error terms Err2, Err3, and Err4

E	Dimension 1		-	Dimension 2	2	E E	Dimension 3	
	Negative	Positive		Negative	Positive		Negative	Positive
Variable	(<-0.5)	(>0.5)	Variable	(<-0.5)	(>0.5)	Variable	(< -0.5)	(>0.5)
Dredge		8.33,	Dredge			Dredge		
Width	12.5	10.83	Width	10.83	N/A	Width	10.83	N/A
Efficiency	High	Low	OS	N/A	Low	Efficiency	N/A	High
CV					Ocean			
Efficiency	Low	High	Species	Surfclam	Quahog	EAS	N/A	High
						CV k		
EAS	High	Low	EAS	N/A	Low	Parameter	High	N/A
CV k								
Parameter	N/A	Low	Region	NJ	LI			
Err2,								
Err3, Err4	N/A	High	Depth	Low	High			
CV								
Density	Low	High	Latitude	N/A	High			
			CV					
Region	N/A	DMV	Density	N/A	Low			

Table 10: Variables that fall on each of the 3 dimensions with loading factors  $\leq -0.5$  or  $\geq 0.5$ 

according to the correspondence analysis.

		Mean	Standard Deviation	1st Quartile	Median	3 <sup>rd</sup> Quartile
	Efficiency Estimates (All Experiments)	0.586	0.260	0.381	0.629	0.779
Ocean Quahog	Efficiency Estimates (9 flagged by Err 2,3,4 removed)	0.711	0.195	0.629	0.667	0.795
	Efficiency Estimates (10 flagged by all Error terms removed)	0.758	0.169	0.641	0.716	0.898
Surfcla m	Efficiency Estimates (All Experiments)	0.635	0.229	0.533	0.590	0.779

Efficiency Estim flagged by Err Removed)	ates (7 2,3,4 0.694	0.196	0.570	0.647	0.852
Efficiency Estima flagged by all Erro removed)	ates (13 or terms 0.738	0.172	0.584	0.733	0.889

Table 11: Comparing mean, SD, median, and quartiles for all 19 ocean quahog and 31 surfclam

depletion experiments with the dataset after 16 experiments at or above the 80<sup>th</sup> percentile for

error terms Err2, Err3, and Err4 were removed.

a. .4 were rc.

# **Figure Captions**

Figure 1: Locations of the 19 depletion experiments targeting ocean quahogs off the east coast of the US. Colors indicate Patch Model efficiency estimates for each depletion experiment. Boundaries on the continental shelf shown as thick lines represent the regions used for various analyses in stock assessments. Thin lines are depth contours.

Figure 2: Locations of the 31 depletion experiments targeting surfclams off the east coast of the US. Colors indicate Patch Model efficiency estimates for each depletion experiment. Boundaries on the continental shelf shown as thick lines represent the regions used for various analyses in stock assessments. Thin lines are depth contours.

Figure 3: Clam distributions from the simulation analysis in Poussard et al. (2021) with dredge tow paths, the straight colored lines, passing through the area. Colors denote the amount of overlap (number of hits) in the dredge paths. Dots are clams. Top left: a biased clam distribution with highest densities in half the area (HP). Bottom left: clams distributed in even vertical bands (P). Bottom right: not-patchy, clams distributed relatively uniformly (NP). Top right: clams distributed in a triangle wedge from south west to north east across the area (T). Figure 4: Efficiency estimates with standard deviations for the 31 depletion experiments targeting Atlantic surfclams (left) and the 19 depletion experiments targeting ocean quahogs (right). Black horizontal line indicates the mean efficiency for all for the respective groups of experiments.

Figure 5: Correlogram for experiments targeting ocean quahogs. Numbers in the squares are Pearson's correlations. Significant correlations ( $\alpha \leq 0.05$ ) are denoted by gray circles. Positive correlations are dark gray; negative correlations are light gray.

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Figure 6: Correlogram for experiments targeting surfclams. Numbers in the squares are Pearson's correlations. Significant correlations ( $\alpha \le 0.05$ ) are denoted by gray circles. Positive correlations are dark gray; negative correlations are light gray.

Figure 7: Correspondence analysis for dimensions 1 and 2 for the depletion dataset. Error terms were entered as supplementary variables (Clausen 1998). E,D,K,C,N,P,S,T,L, and Z were entered as quartiles; only quartiles 1 and 4 are shown in the graphs. Dredge widths are entered as the widths in feet. Error estimates were entered as 1(below the 80th percentile) or 2 (at or above the 80th percentile). Gray box demarcates the area from -0.5 to 0.5 on the x and y axes. Figure 8: Correspondence analysis for the depletion dataset for dimensions 2 and 3. Error terms were entered as supplementary variables (Clausen 1998). E,D,K,C,N,P,S,T,L, and Z were entered as quartiles; only quartiles 1 and 4 are shown in the graphs. Dredge widths are entered as the widths in feet. Error estimates were entered as 1(below the 80th percentile) or 2 (at or above the 80th percentile). Grav box demarcates the area from -0.5 to 0.5 on the x and y axes. Figure 9: Correspondence analysis for dimensions 2 and 3 for the depletion dataset. Error terms were entered as supplementary variables (Clausen 1998). E,D,K,C,N,P,S,T,L, and Z were entered as quartiles; only quartiles 1 and 4 are shown in the graphs. Dredge widths are entered as the widths in feet. Error estimates were entered as 1(below the 80th percentile) or 2 (at or above the 80th percentile). Grav box demarcates the area from -0.5 to 0.5 on the x and y axes.

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